

Properties  
of  
Context-Free Languages

An easy way to prove a bunch of properties of Context-Free languages is through the idea of a *substitution*. Let  $\Sigma$  be a finite alphabet and suppose that for each letter  $a$  in  $\Sigma$  we have a language  $S(a)$ . If  $w = a_1 \dots a_n$  is a string in  $\Sigma^*$  we can say that  $S(w)$  is the concatenation  $S(a_1) \dots S(a_n)$ . If  $L$  is a language over  $\Sigma$  we say that  $S(L) = \bigcup_{w \in L} S(w)$

For example, if we let  $\Sigma = \{0, 1\}$  and  $S(0) = \{a^n b^n \mid n \geq 1\}$  and  $S(1) = \{a^n \mid n \geq 1\}$  then  $S(001) = \{a^n b^n a^m b^m a^k \mid n, m, k \geq 1\}$

**Theorem:** If  $\mathcal{L}$  is a context-free language over  $\Sigma$  and  $S(a)$  is context-free for each  $a$  in  $\Sigma$ , then  $S(\mathcal{L})$  is context-free.

**Proof:** Start with the grammars for each  $S(a)$  and rewrite them so they have no nonterminal symbols in common. Take a Chomsky Normal Form grammar for  $\mathcal{L}$  and rewrite it so it has no nonterminal symbols in common with any of the  $S(a)$  grammars. Each grammar rule for  $\mathcal{L}$  has either the form  $A \Rightarrow BC$  or  $A \Rightarrow a$ . Replace each  $A \Rightarrow a$  rule by  $A \Rightarrow \text{Start}(a)$ , where  $\text{Start}(a)$  is the start symbol for the  $S(a)$  grammar. This gives a context free grammar for  $S(\mathcal{L})$ . (Two simple inductions show that this grammar derives  $w$  if and only if  $w$  is in  $S(\mathcal{L})$ ).

**Theorem:** If languages  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are context-free then so are  $\mathcal{L}_1 \cup \mathcal{L}_2$ ,  $\mathcal{L}_1 \mathcal{L}_2$  and  $(\mathcal{L}_1)^*$ .

**Proof:** Let  $\Sigma$  be  $\{0,1\}$ , let  $S(0)=\mathcal{L}_1$  and let  $S(1)=\mathcal{L}_2$ . Then

- a)  $\{0,1\}$  is context-free, and  $S(\{0,1\}) = \mathcal{L}_1 \cup \mathcal{L}_2$ .
- b)  $\{01\}$  is context-free, and  $S(\{01\}) = \mathcal{L}_1 \mathcal{L}_2$
- c)  $0^*$  is context-free and  $S(0^*) = (\mathcal{L}_1)^*$ .

However, note that context-free languages are not closed under intersection.

**Example:** Let  $\mathcal{L}_1 = \{0^n 1^n 2^j \mid n, j \geq 0\}$  and let  $\mathcal{L}_2 = \{0^k 1^m 2^m \mid k, m \geq 0\}$ . These are both context-free languages but  $\mathcal{L}_1 \cap \mathcal{L}_2 = \{0^n 1^n 2^n \mid n \geq 0\}$  and this is not context-free.

Note that this tells us that complements and differences of context-free languages are not necessarily context-free, for if they were intersections would also be context-free.

**Theorem:** If  $\mathcal{L}$  is context-free and  $\mathcal{R}$  is regular, then  $\mathcal{L} \cap \mathcal{R}$  is context-free.

**Proof:** Start with a PDA that accepts  $\mathcal{L}$  by final state and a DFA that accepts  $\mathcal{R}$ . Make a new PDA whose states are pairs of states from  $\mathcal{L}$  and  $\mathcal{R}$ . If  $\mathcal{L}$  has transition  $\delta(q, a, X) = (q', y)$  and  $\mathcal{R}$  has transition  $\delta(r, a) = r'$  then make transition for the new PDA  $\delta((q, r), a, X) = ((q', r'), Y)$ . The final states of the new PDA are  $\{(q, r) \mid q \text{ is final for } \mathcal{L} \text{ and } r \text{ is final for } \mathcal{R}\}$ . This new PDA accepts string  $w$  if and only if  $w$  is accepted by both  $\mathcal{L}$  and  $\mathcal{R}$ .

Why can't we do this with 2 PDAs?

**Theorem:** If  $\mathcal{L}$  is context-free and  $\mathcal{R}$  is regular then  $\mathcal{L}-\mathcal{R}$  is context-free.

**Proof:**  $\mathcal{L}-\mathcal{R} = \mathcal{L} \cap \mathcal{R}^c$  and  $\mathcal{R}^c$  is regular.

**Theorem:** If  $\mathcal{L}$  is context-free then  $\mathcal{L}^{\text{rev}}$  is also context-free.

**Proof:** Start with a Chomsky Normal Form grammar for  $\mathcal{L}$ . Replace any rule  $A \Rightarrow BC$  with the rule  $A \Rightarrow CB$ . An induction on the length of derivations shows that this is a grammar for  $\mathcal{L}^{\text{rev}}$ .

See example next slide

For example, a grammar for  $\{a^n b^m \mid n > 0, m \geq 0\}$  is

$$A \Rightarrow AB \mid AA \mid a$$
$$B \Rightarrow BB \mid b$$

The grammar

$$A \Rightarrow BA \mid AA \mid a$$
$$B \Rightarrow BB \mid b$$

creates the language  $\{b^m a^n \mid n > 0, m \geq 0\}$

Here is an example of a language that is pumpable but not context-free. This is just a variation of the language that was pumpable in the regular sense but not regular:

$$\mathcal{L} = \{a^i b^j c^k d^l \mid i, j, k, l \geq 0 \text{ and if } i=1 \text{ then } j=k=l\}$$

First, if  $\mathcal{L}$  was context-free then, since  $ab^*c^*d^*$  represents a regular language, the intersection  $\mathcal{L} \cap ab^*c^*d^* = \{ab^j c^j d^j \mid j \geq 0\}$  would also have to be context-free, which it clearly isn't.

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Second, note that  $\mathcal{L}$  is the union of three languages:

$$\mathcal{L}_0 = \{b^j c^k d^l \mid j, k, l \geq 0\} = b^* c^* d^*$$

$$\mathcal{L}_1 = \{ab^j c^j d^j \mid j \geq 0\}$$

$$\mathcal{L}_2 = \{a^i b^j c^k d^l \mid i \geq 2, j, k, l \geq 0\} = a^2 a^* b^* c^* d^*$$

Note that  $\mathcal{L}_0$  and  $\mathcal{L}_2$  are regular, so they are certainly pumpable.  $\mathcal{L}_1$  is not pumpable in itself, but if we take any string  $z$  in  $\mathcal{L}_1$ , such as  $z = ab^j c^j d^j$  we can let  $u = \varepsilon$ ,  $v = a$ ,  $w = x = \varepsilon$ ,  $y = b^j c^j d^j$ . Then  $z = uvwxy$  and  $uv^n wx^n y = a^n b^j c^j d^j$  is an element of  $\mathcal{L}$  for every  $n$ . So every long string in  $\mathcal{L}$  can be pumped; the pumping constant for  $\mathcal{L}$  is the longer of the constants for  $\mathcal{L}_0$  and  $\mathcal{L}_2$ .

## Decision Algorithms for Context-Free Languages:

We can determine if a given string  $w$  is in a given context-free language: convert the grammar to CNF and generate all possible parse trees of height  $|w|-1$ . Since a binary tree of height  $n$  has at least  $n+1$  leaves, this will find all strings in the language of length  $|w|$  or less.

We can determine if a context-free language is empty or infinite; these are homework questions.

Most other questions regarding context-free languages are undecidable, including:

- Are two context-free languages the same?
- Is the intersection of two context-free languages empty?
- Is a context-free language  $\Sigma^*$ ?
- Is a given grammar ambiguous?
- Is a given language inherently ambiguous?